

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: 2010-03-19 17:42:27

2. Agency: 010

3. Bureau: 76

4. Name of this Investment: BIA - Facilities Management Information System (FMIS)

5. Unique Project (Investment) Identifier: 010-76-01-07-01-7613-00

6. What kind of investment will this be in FY 2011?: Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB? *

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

FMIS supports the mission of the Office of Facilities Management and Construction to ensure the efficient and effective stewardship of resources for planning, design, construction, improvement, repair, operation and maintenance of BIA-owned and BIA-funded Indian Education, Law Enforcement and General Administration program support facilities. FMIS provides the functionality and business process features that will provide information to manage BIA facilities over their entire useful life. The performance gap addressed by FMIS is that the previous system to manage repairs and operation and maintenance for BIA facilities was doing an inadequate job. The user interface of the previous tool was very awkward and hard to use which contributed to data entry errors. FMIS is very flexible compared to the previous tool, and is very Windows friendly and does not require lots of typing. FMIS contains modules to support Asset Inventories, Deferred Maintenance Backlogs, Safety Management Inspections/Abatement, Construction Project Management, Budget Development and Tracking, Field Work Tickets, Field Work Planning, Environmental and Ad Hoc Reporting. It is used to justify funds and account for funds appropriated to operate, maintain and repair BIA facilities as well as provide program management capabilities for the scheduling and planning of facilities management activities. The system is used for recording the identification of all improvement, repair, health and safety issues abatement, and construction projects plus the allocation of funds for these purposes. It is also used to monitor new construction and all facilities improvement and repair activities from conception to conclusion. The system also serves as an on-going communications link with its users. It provides management planning, engineering, operations and maintenance, and fiscal control to central office, area offices, agency offices and school locations. With the exception of not having Internet connectivity, FMIS has been well received by the user/customer community. FMIS is a Steady State investment and costs are reviewed as part of the OFMC CPIC process on a continuing basis to make sure continued maintenance and upgrades are within budget and within schedule. Analysis and planning began in FY09 to transition FMIS functionality to MAXIMO, which is intended to act a Department-wide facility management system. FMIS will be either de-commissioned or reduced in functionality.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency's Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
AG2003K045	Time & Materials	Y	2003-12-01	2003-12-01	2006-12-05	\$4.5	*	*	*	*	*
K002812169B	Time & Materials	Y	2006-12-06	2006-12-06	2007-09-30	\$1.0	*	*	*	*	*
K002812169B	Time & Materials	Y	2007-10-01	2007-10-01	2008-09-30	\$1.5	*	*	*	*	*
TBD	IDIQ, Firm Fixed Price	Y	2009-10-01	2009-10-01	2010-09-30	\$1.0	*	*	*	*	*
INICBK008003	IDIQ, Firm Fixed Price	Y	2008-10-01	2008-10-01	2009-09-30	\$1.5	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2011	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	61%	To be determined by 11/30/11
2012	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	61%	To be determined by 11/30/12
2013	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	61%	To be determined by 11/30/13
2010	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95%	100%	To be determined by 11/30/10
2011	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95%	100%	To be determined by 11/30/11
2012	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and	95%	100%	To be determined by 11/30/12

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				Backlog Reduction Reports on time.			
2013	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95%	100%	To be determined by 11/30/13
2010	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	94%	To be determined by 11/30/10
2011	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	100%	To be determined by 11/30/11
2012	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	100%	To be determined by 11/30/12
2013	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	100%	To be determined by 11/30/13
2010	Management Excellence:	*	*	Percent of projects started	20% (2006 actual)	100%	To be determined by

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Increased Accountability.			in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART			11/30/10
2011	Management Excellence: Increased Accountability.	*	*	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	20% (2006 actual)	42%	To be determined by 11/30/11
2012	Management Excellence: Increased Accountability.	*	*	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	20% (2006 actual)	42%	To be determined by 11/30/12
2013	Management Excellence: Increased Accountability.	*	*	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	20% (2006 actual)	42%	To be determined by 11/30/13
2010	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 per year	300,000	To be determined by 11/30/10
2011	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 per year	300,000	To be determined by 11/30/11
2012	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or	300,000 per year	300,000	To be determined by 11/30/12

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				300,000 square feet per year) PART			
2013	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 per year	300,000	To be determined by 11/30/13
2006	Management Excellence: Increased Accountability.	*	*	Identify as excess 300,000 square feet of the BIA building square footage annually	The identified square footage of BIA buildings is currently 1,924,249	Excess 300,000 square feet of BIA buildings	304,473 of excess square feet were identified
2007	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	37%	39%
2006	Management Excellence: Increased Accountability.	*	*	Provide for an increase of 20% in the number of replacement schools constructed in four years or less	53% of Construct replacement schools are completed within four years from Planning, Design through Construction	59% Percent of Construction replacement schools completed within four years	59 % of construction replacement schools were completed within four years.
2007	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 sq feet	300,000 sq ft	464,699 sq ft
2007	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	53%	53%
2008	Management Excellence: Increased	*	*	Percentage of replacement schools and	0% (2006 actual)	100%	100%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Accountability.			major improvement and repair projects constructed within 2 years of commencement of the project. PART			
2007	Management Excellence: Increased Accountability.	*	*	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	20% (2006 actual)	42%	29%
2007	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95 %	100%	98%
2008	Management Excellence: Increased Accountability.	*	*	Percent of projects started in year of appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART	20% (2006 actual)	100%	100%
2008	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	47%	45%
2010	Management Excellence: Increased Accountability.	*	*	Percent of BIA/BIE school facilities in acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART	35% (2006 actual)	58%	To be determined by 11/30/10
2009	Management Excellence: Increased	*	*	Percent of BIA/BIE school facilities in	35% (2006 actual)	52%	52%

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
Accountability.				acceptable condition as measured by the Facilities Condition Index (lower FCI number is good) SP and PART			
2008	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 per year	300,000	314,949 (105%)
2009	Management Excellence: Increased Accountability.	*	*	Eliminate 100% of excess academic space from inventory as of September 2004 (or 300,000 square feet per year) PART	300,000 per year	300,000	201,350
2008	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95%	100%	100%
2009	Management Excellence: Increased Accountability.	*	*	Percentage of replacement schools and major improvement and repair projects constructed within 2 years of commencement of the project. PART	0% (2006 actual)	100%	73%
2009	Management Excellence: Increased Accountability.	*	*	System available to generate the monthly Facilities Condition Index (FCI) and Backlog Reduction Reports on time.	95%	100%	100%
2009	Management Excellence: Increased	*	*	Percent of projects started in year of	20% (2006 actual)	100%	100%

Table 1: Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Accountability.			appropriation (Replacement, New Facility, and Major Improvement and Repair.) PART			

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Enhancements FMIS FY02	\$1.2	\$1.2	1994-10-01	1994-10-01	2002-09-30	2002-09-30	100.00%	100.00%
Investment retired	*	*	2013-10-01		2013-10-01		0.00%	0.00%
O&M for FMIS prior to FY07	\$14.5	\$14.5	2002-10-01	2002-10-01	2006-09-30	2006-09-30	100.00%	100.00%
O&M for FMIS FY07	\$1.4	\$1.5	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
O&M for FMIS FY08	\$1.1	\$1.1	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
O&M for FMIS FY09	\$1.3	\$1.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
O&M for FMIS FY10	\$1.0	\$1.1	2009-10-01	2009-10-01	2010-09-30		75.00%	75.00%
O&M for FMIS FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%
O&M for FMIS FY12	*	*	2011-10-01		2012-09-30		0.00%	0.00%
O&M for FMIS FY13	*	*	2012-10-01		2013-09-30		0.00%	0.00%

* - Indicates data is redacted.